

## Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mn	1/yyyy) :	_30	/06/2016		
To: Hong Kong Exchanges an	d Clearing	Limite	ed		
Name of Issuer Date Submitted	InvesTec Group Li 05/07/20	mited)	ings Limited	(formerly known	as HL Technology
Date Submitted	03/01/20	10			
I. Movements in Authorised Sl	nare Capita	al			
1. Ordinary Shares					
(1) Stock code : <u>1087</u>	Descrip	tion :	Ordinary	Shares	
			of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding i	nonth	2	2,500,000,000	USD0.02	<u>USD50,000,000</u>
Increase/(decrease)			N/A		N/A
Balance at close of the month		2	2,500,000,000	USD0.02	USD50,000,000
(2) Stock code : <u>N/A</u>	Descrip	tion:	N/A		
			of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding 1	nonth				
Increase/(decrease)				_	
Balance at close of the month					

2. Preference Sh	ares				
Stock code:	N/A	Descrip	otion: N/A		
			No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close	of preceding n	nonth			
Increase/(decrea	se)				
Balance at close	of the month				
3. Other Classes	of Shares				
Stock code:	N/A	Descrip	otion: N/A		
			No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close	of preceding n	nonth			
Increase/(decrea	se)				
Balance at close	of the month				
Total authorised currency):	share capital a	t the end	of the month (State	U	SD50,000,000

## II. Movements in Issued Share Capital

	No. of ordina	ary shares	No of preference	No. of other classes	
	(1)	(2)	shares	of shares	
Balance at close of preceding month	780,000,000	N/A	N/A	N/A	
preceding month	780,000,000	11/1		1V/A	
Increase/ (decrease) during the month	N/A	N/A	N/A	N/A	
Balance at close of the month	780,000,000	N/A	N/A	N/A	

## III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share	nare Option S	enemes of the Is	suci)		No. of new shares of	No. of new shares of
option scheme					issuer issued during	issuer which may be
including EGM					the month pursuant	issued pursuant thereto
approval date		Movement duri	ng the month		thereto	as at close of the month
(dd/mm/yyyy) and		1,10 , 01110111 0011	ng ure monun		:	
class of shares						
issuable	Granted	Exercised	Cancelled	Lapsed		
1. Share option						
scheme						
(25/10/2010)						
Ordinary shares	N/A	N/A	N/A	N/A	N/A	N/A
(Note 1)						
2.						
( / / )						
shares						-
(Note 1)						
3.						
( / / )						
shares						
(Note 1)						
		To	otal A. (Ordin	ary shares)	N/A	
			(Prefere	nce shares)	N/A	
			·	Other class)	N/A	
Total funds raised durin	g the month f	rom exercise of	`	,		
options (State currency)		2211 011010100 01		N/A		

Warrants to Issue Shares of the Is	suer which are to be Listed	ŀ	for Main Board	and GEM liste	d issuers
Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A	-				
	-				
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date					
(if applicable)					
(dd/mm/yyyy)	( / / )				
2. N/A	-				
	-				
( / / )					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price					
EGM approval date (if applicable) (dd/mm/yyyy)  3. N/A	( / / )				
	-				
Stock code (if listed)					
Class of shares issuable ( <i>Note 1</i> )					
Subscription price					
EGM approval date (if applicable)					
(dd/mm/yyyy)	( / / )				
4. N/A	_				
	-				
( / / )	<u> </u>				
Stock code (if listed)					
Class of shares issuable ( <i>Note 1</i> )					
Subscription price					
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )				
	· · · · · · · · · · · · · · · · · · ·	/=			
	Tota		ary shares) ace shares)	N/A N/A	-
			ther class)	N/A N/A	<del>-</del>
1		`	· —		-

Class and description		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A						
	<u> </u>					
	<u></u>					
Stock code (if listed)						
Class of shares						
issuable (Note 1)						
Subscription price						
(if applicable)						
(dd/mm/yyyy))	( / / )					
2. N/A						
Stock code (if listed)				-		
Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy)	( / / )					
3. N/A	,					
	<u> </u>					
	<u></u>					
Stock code (if listed)						
Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy)	( / / )					
4. N/A	,					
	<u> </u>					
C411-(:f1:-4-4)					·	
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price		_ <del>_</del>				
EGM approval date						
(if applicable) (dd/mm/yyyy)	( / / )					
			Total C. (Ordin		N/A	_
				ence shares)	N/A	_
			(0	Other class)	N/A	_

For Main Board and GEM listed issuers Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. <u>N/A</u>	-	
	-	
	]	
shares (Note 1)		
2. N/A		
2. 10/1	-	
	-	
shares (Note 1)		
3. N/A		
	-	
shares (Note 1)	-	
Total D. (Ordinary shares)	N/A	
(Preference shares)	N/A	
(Other class)	N/A	•

Ott	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue		Class of shares issuable				
			(Note 1)				
1.	Rights issue	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			(dd/mm/yyyy)			N/A	N/A
			Class of shares issuable				
2	Onen offen	At price : State	(Note 1)  Issue and allotment	( / /	)		
2.	Open offer	At price : State currency	date : (dd/mm/yyyy)		`		
			EGM approval date: (dd/mm/yyyy)	( / /	)	27/4	37/4
						N/A	N/A
			Class of shares issuable (Note 1)				
3.	Placing	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date:	( / /	)		
			(dd/mm/yyyy)			N/A	N/A
			Class of shares issuable (Note 1)				
4.	Bonus issue		Issue and allotment date: (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			(dd/mm/yyyy)			N/A	N/A

For Main Board and GEM listed issuers

Class of shares   Class of s		Type of Issue		T OT IVIAIN	<u>Boa</u>	No. of new shares of issuer issued during the month pursuant thereto	No. of new
Scrip dividend		1) pe of 1884e	Class of shares issuable				
EGM approval date: ( / / )			(Note 1)				
Class of shares	5.	Scrip dividend At price : State currency ———		( / /	)		
Class of shares repurchased (Note 1)				( / /	)		
Cancellation date :			, J.J.J.			N/A	N/A
6. Repurchase of shares    Cancellation date : ( / /							
Shares	6.	Repurchase of	-	( / /	)		
Class of shares redeemed (Note 1)   N/A   N/A							
Class of shares redeemed (Note 1)  7. Redemption of Redemption date: ( / / ) ( dd/mm/yyyy)  EGM approval date: ( / / ) ( dd/mm/yyyy)  N/A N/A  Class of shares issuable ( / / )  Redemption of ( / / )  EGM approval date: ( / / )  State (Note 1)  Issue and allotment ( / / )  date: (dd/mm/yyyy)  EGM approval date: ( / / )				( / /	)		
Redemption of shares						N/A	N/A
shares (dd/mm/yyyy)  EGM approval date: ( / / / )							
Class of shares issuable (Note 1)  8. Consideration issue At price: State currency Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)	7.		Redemption date : (dd/mm/yyyy)	( / /	)		
Class of shares issuable (Note 1)  8. Consideration issue At price: State currency Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)				( / /	)		
8. Consideration issue At price: State currency — Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (/// ) (dd/mm/yyyy)			(dd/mm/yyyy)			N/A	N/A
8. Consideration issue At price: State currency — Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (/// ) (dd/mm/yyyy)							
8. Consideration issue At price: currency — date: (dd/mm/yyyy)  EGM approval date: ( / / ) (dd/mm/yyyy)							
(dd/mm/yyyy)	8.			( / /	)		
N/A N/A				( / /	)		
			(dd/mm/yyyy)			N/A	N/A

				For Main	Board	and GEM liste	
	Type of Issue					No. of new chares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares issuab (Note 1)	le			
9.	Capital reorganisation		Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)	N/A	N/A
			Class of shares issuab	le			
10.	Other (Please specify)	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)	N/A	N/A
			Total E.	(Ordinary s (Preference s (Other	shares	N/A	- - -
То	otal increase / (decre	ase) in ordinary shares durin	ng the month (i.e. Total of A	to E):	(1	<i></i>	/A
т	etal imamora //Jeres	ogo) in muofonomaa ahama d	wing the month (i - T-t-1 - C	A to Eli	(2	<i></i>	/A /A
		ease) in other classes of share					/A

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

Remarks (if any):
Submitted by: Ho Wing Yan
Title: Company Secretary (Director, Secretary or other duly authorised officer)

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.